## **Capital Vault Incorporated**

## **Public Notice**

## Capital Vault Incorporated is not and does not act as:

- a registered dealer or agent;
- a loan and trust corporation;
- a loan or mortgage broker;
- a credit union, caisse populaire or bank;
- a stock exchange or clearing agency;
- a stock broker, investment dealer or a distribution company:
- an agent or promoter soliciting investment funds from the public;
- an agent or entity soliciting or accepting deposits from the public;
- a public company or a public or private mutual fund;
- a public trust or public trustee;
- an investment, tax, accounting or legal advisor;
- an underwriter;
- offering securities for sale to the public;
- offering investment contracts to the public;
- offering investment counsel, investment advisory that includes;

the investing in or the buying of specific securities;

- or being primarily engaged in giving continuous advice concerning the investment of funds on the basis of client criteria or other objectives;
- a portfolio management firm offering services to the public;
- agents being in the business of trading in securities;
- agents offering securities under continuous distribution;
- agents receiving compensation for occasional trades in securities;
- agents acting as intermediaries;
- agents expecting to produce or intending to produce a profit from trading in securities;
- agents receiving compensation from occasional money raising and investing;
- agents soliciting investors actively;
- agents acting as intermediaries investing client money in securities;
- agents acting as investment fund managers;
- agents acting as portfolio managers;
- agents not actively involved in the management of the company, represented on the board of directors or in management decisions;
- agents offering management, administration, marketing or promotional services, market research, product design or document processing;
- agents charging a fee or receiving compensation for products and services;
- agents engaged in import retail operations, foreign product dumping, counterfeit products including brands, certification standards and labels or other crafty and clever writing and design intended to deceive and be deceptive in nature and un-restricted product importing;
- agents promoting, supervising or engaged in the liquidation of Canadian companies, assets, resources, technology or jobs, including un-restricted capital and natural resource exports;
- agents driving hard bargains with manufacturing industries, employees, share-owners, investors, developers, entrepreneurs and Canadian enterprise;
- agents that don't give a damn about Made in Canada or Canadian jobs;